

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: SZNAIDMAN, Marcos L. Art Unit: 1611

Re: Application of: KIM, Myung-Hwa, et al.

Serial No.: 10/562,615

Filed: July 27, 2006

For:
**TRICYCLIC DERIVATIVES OR
PHARMACEUTICALLY SALTS THEREOF, THEIR
PREPARATIONS AND PHARMACEUTICAL
COMPOSITIONS CONTAINING THEM**

Confirmation No.: 6138

NOTIFICATION OF ESTABLISHMENT OF SMALL ENTITY STATUS BY ERRORCommissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

January 22, 2009

Sir:

A Small Entity status was established in good faith. It has now been discovered that such status as a Small Entity was established in error, and such Small Entity status is hereby withdrawn.

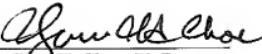
Payment is attached for the deficiencies between the amount of fees paid and the amount due:

The deficiency owed is calculated as shown below.

Type of Fee	Date Paid	Fee Paid	Current Fees	Remaining Fee
Filing fee	December 23, 2005	\$880.00	\$1,520.00	\$640.00
Missing Parts Fee with a one-month extension	July 24, 2006	\$125.00	\$260.00	\$135.00
Total				\$775.00

A payment of \$775.00 is being charged to the undersigned's credit card. No further fee is believed to be due. If, on the other hand, it is determined that any further fees are due or any overpayment has been made, the Assistant Commissioner is hereby authorized to debit or credit such sum to deposit account 02-2275. Pursuant to 37 C.F.R. 1.136(a)(3), please treat this and any concurrent or future reply in this application that requires a petition for an extension of time for its timely submission as incorporating a petition for extension of time for the appropriate length of time. The fee associated therewith is to be charged to Deposit Account No. 02-2275.

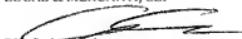
Respectfully submitted,
LUCAS & MERCANTI, LLP

By: 
Yuen H. Choe, Ph.D.
Reg. No. 61,798

LUCAS & MERCANTI, LLP
475 Park Avenue South
New York, New York 10016
Phone: 212-661-8000
Fax: 212-661-8002

CERTIFICATE OF ELECTRONIC TRANSMISSION
I hereby certify that this document is being electronically transmitted to the Commissioner for Patents via EFS-Web on January 22, 2009.

LUCAS & MERCANTI, LLP


BY: Carla Curado